

**Notice Pursuant to Bankruptcy Rule 3001**

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) \_\_\_\_\_

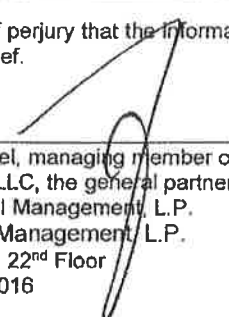
**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> <b>VonWin Capital Management, L.P.</b>	<u>Name of Transferor:</u> <b>The Hongkong and Shanghai Banking Corporation Limited Singapore Branch – Private Banking Division</b>
Notices to Transferee should be sent to: VonWin Capital Management, L.P. 261 Fifth Avenue, 22 <sup>nd</sup> Floor New York, NY 11016 (212) 889-1601 Attn: Charmaine Wilson cw@vonwincapital.com	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor 21 Collyer Quay #21-01 HSBC Building Singapore 049320
<u>Amount of Claim Being Transferred:</u> See Schedule 1	
<u>Court Claim No. (if known):</u> 56668, 56671	
<u>Date Claim Filed:</u> October 28, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

  
Roger Von Spiegel, managing member of  
VonWin Capital, LLC, the general partner  
of VonWin Capital Management, L.P.  
VonWin Capital Management, L.P.  
261 Fifth Avenue, 22<sup>nd</sup> Floor  
New York, NY 10016

Date: 2-22-19

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571.* As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

Schedule 1

Transferred Claims

<u>ISIN/CUSIP</u>	<u>Court Claim #</u>	<u>Date Claim Filed</u>	<u>Issuer</u>	<u>CCY</u>	<u>Currency and nominal amounts or Units</u>
XS021341614.1	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR	200,000.00
XS0229584296	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR	950,000.00
XS0296830267	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	200,000.00
XS0297907791	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR	500,000.00
XS0301813522	56671	10/28/2009	LEHMAN BROTHERS UK 6.90000	USD	100,000.00
XS0322469403	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	SGD	550,000.00
XS0322498923	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	HKD	10,000,000.00
XS0326025920	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	500,000.00
XS0326404430	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	300,000.00
XS0326538120	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	SGD	500,000.00
XS0328585467	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	100,000.00
XS0328865588	56671	10/28/2009	LEHMAN BROTHERS CAP FUNDING	USD	100,000.00
XS0340114353	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	300,000.00
XS0215349357	56668	10/29/2009	LEHMAN UK CAP PREF SEC 6.625	EUR	100,000.00

RESTRICTED

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

**Proof of Claim Number: 56668, 56671 (the "Proof of Claim")**

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

<u>ISIN/CUSIP</u>	<u>Court Claim #</u>	<u>Date Claim Filed</u>	<u>Issuer</u>	<u>CCY</u>	<u>Currency and nominal amounts or Units</u>
XS0213416141	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR	200,000.00
XS0229584296	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR	950,000.00
XS0296830267	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	200,000.00
XS0297907791	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR	500,000.00
XS0301813522	56671	10/28/2009	LEHMAN BROTHERS UK 6.90000	USD	100,000.00
XS0322469403	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	SGD	550,000.00
XS0322498923	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	HKD	10,000,000.00
XS0326025920	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	500,000.00
XS0326404430	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	300,000.00
XS0326538120	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	SGD	500,000.00
XS0328585467	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	100,000.00
XS0328865588	56671	10/28/2009	LEHMAN BROTHERS CAP FUNDING	USD	100,000.00
XS0340114353	56671	10/28/2009	LEHMAN BROTHERS TREASURY BV	USD	300,000.00
XS0215349357	56668	10/29/2009	LEHMAN UK CAP PREF SEC 6.625	EUR	100,000.00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED SINGAPORE BRANCH - PRIVATE BANKING DIVISION, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:**

VonWin Capital Management, L.P.  
261 Fifth Avenue, 22nd Floor  
Telephone: (212) 889-1601  
Fax: (212) 889-2232  
Attention: Charmaine Wilson

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

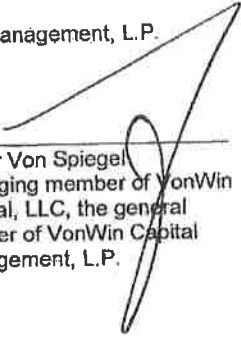
IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated Feb 22, 2019

THE HONGKONG AND SHANGHAI BANKING  
CORPORATION LIMITED SINGAPORE BRANCH  
- PRIVATE BANKING DIVISION  
Transferor

By:   
Name: Alice So  
Title: Director of Private Banking Operations

ACKNOWLEDGED BY:

VonWin Capital Management, L.P.  
Transferee

By:   
Name: Roger Von Spiegel  
Title: Managing member of VonWin Capital, LLC, the general Partner of VonWin Capital Management, L.P.